Substitute for Form 1449A/PTO

INFORMATION (Use as many sheets as necessary)

Complete if Known **Application Number** 09/657,041 9/5/2000 Filing Date Leonard Pinchuk et al. First Named Inventor Art Unit 3731 Michael H. Thaler **Examiner Name BSI-430US8** Attorney Docket No.

SHEET 1 of 2

| U.S. PATENT DOCUMENTS | | | | | | | |
|--|--|--|----------------------------------|--|---|------|--|
| Examiner Cite Initials* No.1 | | Document Number | | | Pages, Columns, Lines, Where | | |
| | | Number - Kind Code ² (if known) | Publication Date (MM-DD-YYYY) | Name of Patentee or Applicant of Cited Document | Relevant Passages or Relevant Figures Appear | | |
| w | | US-4,374,669 | 02/22/1983 | MacGregor | T 1 | | |
| m | | US-5,306,286 | 04/26/1994 | Stack et al. | | | |
| w | | US-5,266,073 | 11/30/1993 | Wall | | | |
| <u> </u> | | | | | <u> </u> | | |
| | | | | | REOF | | |
| | | | | | TECETAL | Fr | |
| | | | | | RECEIVE JUL 2 3 2004 | | |
| | | | | | TECHNO | • | |
| · | | | | | TECHNOLOGY CENTER 3 | 370n | |
| | | | | | | . 00 | |
| | | | | | | | |
| | ļ | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | <u> </u> | | · | | | |
| ــــــــــــــــــــــــــــــــــــــ | J | <u> </u> | l | <u> </u> | | | |

| FOREIGN PATENT DOCUMENTS | | | | | | |
|--------------------------|---|-------------------------|--------------------------------|--|--|----------|
| | | Foreign Patent Document | | Name of Patentee or | Pages, Columns, Lines, Where Relevant | |
| Examiner Initials* | Company Code 3 Attendance Manual Code 3 (II MOWO) | (MM-DD-YYYY) | Applicant of Cited Document | Passages or Relevant Figures Appear | Т6 | |
| m | | WO 95/09586 | 04/13/1995 | Emory University | | |
| | | | | | | <u> </u> |
| | | | | | | |
| Examiner | l | mer - | <u> </u> | Date | 11-17-06 | L |

Signature

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

*Applicant's unique citation designation number (optional).

*See Kind Codes of USPTO Patent Documents at https://www.ustro.gov or MPEP 901.04.

*Enter Office that issued the document, by the two-letter code (MIPO Standard St.3).

*For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

*Kind of document by the appropriate symbox as indicated on the document wriPO Standard ST.16 if possible.

*Applicant is to place a check mark here if English language translation is attached.

The collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gethering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. After comments on the amount of time you require to complete USIs form analyor suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Petent and Trademark Office, U.S. Department of Commerce, P.O. 8ox 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, cell 1-400-PTO-9199 (1-400-780-9199) and select option 2.

PTO/SB/08a (08-03) (AW-10

| • | LAS | 00 | W.S. |
|---|-----|----|------|

Complete if Known Substitute for Form 1449B/PTO 09/657,041 **Application Number** 9/5/2000 INFORMATION D Filing Date First Named Inventor Leonard Pinchuk et al. STATEMENT BY A (Use as many sheets as necessary) 3731 TECHNOLOGY CENTER 3700 Art Unit Examiner Name Michael H. Thater BSI-430US8 Attorney Docket No. SHEET 2 of 2

NON-PATENT LITERATURE DOCUMENTS

| Examiner Initials* | Cite No.1 | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s); publisher, city and/or country where published | T² |
|-----------------------|--------------|--|----|
| 2 | | Copy of European Search Report for Application No. EP 03 07 5624 dated June 18, 2004 | |
| | | | |
| • | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | · | 0 |
| | | · | 0 |
| Examiner Signature | 1 | Date Considered 11-17-06 | _ |

considered. Include copy of this form with next communication to Applicant.

Applicant's unique citation designation number (optional).

Applicant is to place a check mark here if English language translation is attached.

The collection of Information is required by 37 CFR 1.98. The Information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the Individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS.

SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

| | ~~ | m |
|--|----|---|
| | | |

| | AU6 ' | 0 8 3 | 2004 |
|---------------------------|--------------|-------|------|
| | 13 | • | |
| Substitute for Form 1449/ | A PYCE TE | .OCH | |

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

SHEET 1 of 2

| | Complete if Known | |
|----------------------|------------------------|------------|
| Application Number | 09/657,041 | |
| Filing Date | 9/5/2000 | |
| First Named Inventor | Leonard Pinchuk et al. | 7 |
| Art Unit | 3731 | The All Ca |
| Examiner Name | Michael H. Thaler | Mo, of |
| Attorney Docket No. | BSI-430US8 | Car 20 |

| | | | U.S. PATENT DO | OCUMENTS | A. S. |
|-----------------------|--------------|--|----------------------------------|--|---|
| | | Document Number | Darkii aadaa Daka | No of Patents | Pages, Columns, Lines, Where |
| Examiner Initials* | Cite No.1 | Number - Kind Code ^{2 (If known)} | Publication Date (MM-DD-YYYY) | Name of Patentee or Applicant of Cited Document | Pages, Columns, Unes, Opere Relevant Passages or Relevan Figures Appear |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | , | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |

| | | FOREIGN PA | TENT DOCUMEN | TS | | |
|--|--------------------------------|--|------------------|-----------------------|----------|---|
| Examiner Cite Publication Date Applicant o | | Foreign Patent Document | Name of Patentee | | | |
| | Applicant of Cited Document | Passages or Relevant Figures Appear | Τ° | | | |
| 2/ | | EP 0 722 701 A1 | 07/24/1996 | Cordis Europa N.V. | | |
| n | | WO 95/21592 | 08/17/1995 | Mintec, Inc. | | _ |
| | | | | | | |
| | | | | | | |
| Examiner | т — | 2- | | Date | 11-12 -1 | |

Signature Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

1 Applicant's unique citation designation number (optional).

2 See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

2 Enter Office that Issued the document, by the two-letter code (WIPO Standard St.3).

3 For Japanese patent documents, the Indication of the year of the reign of the Emperor must precede the serial number of the patent document.

3 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

4 Applicant is to place a check mark here if English language translation is attached.

The collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, cell 1-800-7TO-9199 (1-800-786-9199) and eclor option 2.

PTO/SB/088 (08-03) (AW 10

<u>&</u>

| AUG 0 9 2004 | CAR AT |
|---|------------|
| <u> </u> | <u>.57</u> |
| Substitute for the 4490 of | |

| INF | OF | RM/ | ATIC | N | DIS | SCL | OS | URE |
|-----|----|-----|------|----|-----|-----|-----|-----|
| ST | ΑT | EM | ENT | B' | ΥA | PP | LIC | ANT |

(Use as many sheets as necessary)

SHEET 2 of 2

| Complete if Known | | | | |
|----------------------|------------------------|------|--|--|
| Application Number | 09/657,041 | | | |
| Filing Date | 9/5/2000 | | | |
| First Named Inventor | Leonard Pinchuk eftal. | | | |
| Art Unit | 3731 | // | | |
| Examiner Name | Michael H. Thaler | T | | |
| Attorney Docket No. | BSI-430USB & Q | 2, 0 | | |

| | | NON-PATENT LITERATURE DOCUMENTS | |
|-----------------------|--------------|--|----------|
| Examiner Initials* | Cite No.1 | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published | T2 |
| n | · | Copy of European Search Report for Application No. EP 03 00 5616 dated June 3, 2004 | |
| | | | |
| | · · · · | | |
| | - | | |
| | | | |
| | | | |
| | | | |
| | | | E |
| | | | |
| | | | |
| | | | |
| | | | |
| : | | | |
| | | | Г |
| Examiner | | Date Considered 1/-17-06 | <u> </u> |

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

considered. Include copy of this form with next communication to Applicant.

Applicant's unique citation designation number (optional).

Applicant is to place a check mark here if English language translation is attached.

The collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.